**Subaward Compliance and Assurance Statement**

**BIOSAFETY, IACUC, and IRB Approvals and Certification regarding Conflict of Interest**

|  |  |  |
| --- | --- | --- |
| **1. SUBRECIPIENT ORGANIZATION:** | **2. UIUC AGREEMENT NUMBER:**  **3. PROJECT TITLE:** | |
| **4. BIOSAFETY OF RECOMBINANT AND SYNTHETIC NUCLEIC ACIDS**  Please check all applicable statements:  Project DOES NOT involve recombinant and synthetic nucleic acids  Project involves recombinant and synthetic nucleic acids and was either:  APPROVED by an Institutional Biosafety Committee (IBC)  Determined to be EXEMPT from NIH guidelines by an IBC  Approval / determination by IBC given on (date):  This performing organization agrees to assume primary responsibility for complying with both the intent and procedures of the National Institutes of Health (NIH), DHHS Guidelines for Research Involving Recombinant DNA Molecules, as revised. | | **5. CARE AND USE OF ANIMALS (IACUC Approval)**  Please check ONE of the following statements:  Project DOES NOT involve live vertebrate animals  Project involves live vertebrate animals and was approved by the Institutional Animal Care and Use Committee (IACUC) on (date)  This performing organization agrees to assume primary responsibility for complying with the Animal Welfare Act (7 USC, 2131-2156), Public Law 89-544, 1996, as amended, the Health Research Extension Act of 1985, Public Law 99-158, November 20, 1985 "Animals in Research", and the regulations promulgated thereunder by the Secretary of Agriculture in 9 CFR parts 1, 2, 3, and 4. In the case of domesticated farm animals housed under farm conditions, the organization shall adhere to the principles stated in the Guide for the Care and Use of Agriculture Animals in Agricultural Research and Teaching, Federation of Animal Science Societies, 2010. |
| **6. PROTECTION OF HUMAN SUBJECTS (IRB Approval)**  Please check all applicable statements:  Project DOES NOT involve human subjects.  Project involves human subjects AND  Was approved by the Institutional Review Board (IRB) on (date)      . Performing organization holds a Federal-wide assurance number     ; if not, a Single Project Assurance is required.  Was deemed exempt by the IRB based on exemption category number     .  Specific plans involving human subjects depend upon completion of survey instruments, prior animal studies, or development of material procedures. No human subjects will be involved in research until approved by the IRB and written notification will be submitted to The University of Illinois Office of Research and Sponsored Programs administrative contact.  This performing organization agrees to assume primary responsibility for complying with the Federal Policy for Protection of Human Subjects as set forth in 45 CFR Part 46, 1991, as amended, and FDA regulations set forth in 21 CFR Parts 11, 50, 54, and 56. All nonexempt research involving human subjects must be approved and under continuing review by an IRB protocol. If the performing organization submits a Single Project Assurance, supplemental information describing procedures to protect subjects from risks is required. | | **7. CONFLICT OF INTEREST**  Please check ONE Of the following statements:  The Subrecipient, hereby certifies that, to the best of its knowledge and belief, there are no present or currently planned interests (financial, contractual, organizational, or otherwise) relating to the work to be performed under the contract that would create any actual or potential conflict of interest (or apparent conflicts of interest) (including conflicts of interest for immediate family members: spouses, parents, children) that would impinge on its ability to render impartial, technically sound, and objective assistance or advice or result in it being given an unfair competitive advantage. In this clause, the term “potential conflict” means reasonably foreseeable conflict of interest. The Subrecipient further certifies that it has and will continue to exercise due diligence in identifying and removing or mitigating such conflict of interest (or apparent conflict of interest).  As Subrecipient, we certify that there is an actual or potential conflict of interest on this project, that that would impinge on our ability to render impartial, technically sound, and objective assistance or advice or result in it being given an unfair competitive advantage. The Subrecipient further certifies that it has and will continue to manage or mitigating such conflict of interest (or apparent conflict of interest). |
| **SIGNATURE /TITLE OF AUTHORIZED REPRESENTATIVE** | | **DATE** |